

(Set up by an Act of Parliament)

Corporate Laws & Corporate Governance Committee The Institute of Chartered Accountants of India

03rd June, 2021

ANNOUNCEMENT

Sub: Revised List of Forms where additional fees has been waived off as per Circular 06/2021 and 07/2021 issued by the Ministry of Corporate Affairs

In continuation to the clarification issued by the Ministry of Corporate affairs dated 13th April 2021, wherein certain forms were listed out which were covered under the relaxation provided to Companies and LLPs in respect of filing of forms without payment of any additional fees where it has been due for filing for a specified period of time vide the Ministry's Circular no 06/2021 and 07/2021 dated 3rd May 2021, the Ministry has further clarified and listed out 43 new forms in addition to the forms prescribed earlier in the said list.

The list of the additional forty three forms where additional fees has been waived off is tabulated below:

S	Form Id	Form Description	Applicable Circular to be referred		
No.					
1.	Form AOC-4	Form for filing financial statement and	06/2021 dated 3rd		
		other documents with the Registrar	May 2021		
2.	Form AOC-4	Form for filing financial statement and	06/2021 dated 3rd		
	NBFC	other documents with the Registrar for	May 2021		
		NBFCs			
3.	Form AOC-4	Form for filing XBRL document in respect of	06/2021 dated 3rd		
	XBRL	financial statement and other documents	May 2021		
		with the Registrar			
4.	Form MGT-7	Form for filing Annual Return by a Company	06/2021 dated 3rd		
			May 2021		
5.	LLP Form-3	Information with regard to LLP Agreement	06/2021 dated 3rd		
		and changes, if any, made therein	May 2021		
6.	LLP Form -11	Annual Return of Limited Liability	06/2021 dated 3rd		
		Partnership (LLP)	May 2021		
7.	Form DIR-11	Notice of resignation of a director to the	06/2021 dated 3rd		
		Registrar	May 2021		
8.	Form MGT-14	Filing of Resolutions and Agreements to the	06/2021 dated 3rd		
		Registrar	May 2021		

9.	Form INC- 20A	Declaration for commencement of business	06/2021 dated May 2021	3 rd
10.	Form MGT-15	Form for filing Report on Annual General Meeting	06/2021 dated May 2021	3 rd
11.	Form PAS-6	Reconciliation of Share Capital Audit Report (Half-yearly)	06/2021 dated May 2021	3 rd
12.	Form AOC- 4 CFS NBFC	Form for filing consolidated financial statements and other documents with the Registrar for NBFCs	06/2021 dated May 2021	3 rd
13.	Form AOC- 4 CFS	Form for filing consolidated financial statements and other documents with the Registrar	06/2021 dated May 2021	3 rd
14.	Form FC-1	Information to be filed by foreign company	06/2021 dated May 2021	3 rd
15.	Form FC-2	Return of alteration in the documents filed for registration by foreign company	06/2021 dated May 2021	3 rd
16.	Form PAS-3	Return of allotment	May 2021	3 rd
17.	Form MR-1	Return of appointment of MD/WTD/Manager	06/2021 dated May 2021	3 rd
18.	Form INC-4	One Person Company- Change in Member/Nominee	06/2021 dated May 2021	3 rd
19.	Form INC-6	One Person Company- Application for Conversion	06/2021 dated May 2021	3 rd
20.	Form IEPF-5 eVerification Report	Company eVerification Report	06/2021 dated May 2021	3 rd
21.	Form INC-20	Intimation to Registrar of revocation/surrender of license issued under section 8	06/2021 dated May 2021	3 rd
22.	Form NDH-4	Application for declaration as Nidhi Company and for updation of status by Nidhis	06/2021 dated May 2021	3 rd
23.	Form IEPF-4	Statement of shares transferred to the Investor Education and Protection Fund	06/2021 dated May 2021	3 rd
24.	Form GNL-3	Details of persons/directors/charged/specified	06/2021 dated May 2021	3 rd
25.	Form MGT-6	Persons not holding beneficial interest in shares	06/2021 dated May 2021	3 rd
26.	Form GNL-2	Form for submission of documents with the Registrar.	06/2021 dated May 2021	3 rd
27.	Form ADT-3	Notice of Resignation by the Auditor	May 2021	3 rd
28.	Form DIR-12	Particulars of appointment of Directors and the key managerial personnel and the changes among them	06/2021 dated May 2021	3 rd

29.	Form SH-11	Baturn in respect of huw back of securities	06/2021	dated	3 rd
		Return in respect of buy-back of securities	May 2021	dated	-
30.	Form CRA-4	Form for filing Cost Audit Report with the Central Government.	06/2021 May 2021	dated	3 rd
31.	Form BEN-2	Return to the Registrar in respect of declaration under section 90	06/2021 May 2021	dated	3 rd
32.	Form IEPF-1	Statement of amounts credited to Investor Education and Protection Fund	06/2021 May 2021	dated	3 rd
33.	Form IEPF-7	Statement of amounts credited to IEPF on account of shares transferred to the fund	06/2021 May 2021	dated	3 rd
34.	Form AOC-5	Notice of address at which books of account are maintained	06/2021 May 2021	dated	3 rd
35.	Form SH-7	Notice to Registrar of any alteration of share capital	06/2021 May 2021	dated	3 rd
36.	Form CRA-2	Form of intimation of appointment of cost auditor by the company to Central Government	06/2021 May 2021	dated	3 rd
37.	Form-15	Notice for change of place of registered office	06/2021 May 2021	dated	3 rd
38.	Form-4	Notice of appointment, cessation, change in name/ address/designation of a designated partner or partner. and consent to become a partner/designated partner	06/2021 May 2021	dated	3 rd
39.	Form-5	Notice for change of name	06/2021 May 2021	dated	3 rd
40.	Form-12	Form for intimating other address for service of documents	06/2021 May 2021	dated	3 rd
41.	Form-22	Notice of intimation of Order of Court/ Tribunal/CLB/ Central Government to the Registrar	06/2021 May 2021	dated	3 rd
42.	Form-29 LLP	Notice of (A) alteration in the certificate of incorporation or registration; (B) alteration in names and addresses of any of the persons authorised to accept service on behalf of a foreign limited liability partnership (FLLP) (C) alteration in the principal place of business in India of FLLP (D) cessation to have a place of business in India	06/2021 May 2021	dated	3 rd
43.	Form-27 LLP	Form for registration of particulars by Foreign Limited Liability Partnership (FLLP)	06/2021 May 2021	dated	3 rd

Please note that the above referred forms are prescribed in addition to the forms listed out in the Clarification issued by the Ministry earlier. Therefore the requirements as stated in the previous announcements remain the same.

Further, the list of previous forms which were covered under the said relaxation may be referred at the announcement hosted by ICAI. Link for the same is given below: <u>https://resource.cdn.icai.org/64781clcgc140521.pdf</u>

Clarification (revised list of forms) is available at the link: <u>https://www.mca.gov.in/bin/dms/getdocument?mds=N2pxvsmVDKIDdx0TtXM3Ow%253D%</u> <u>253D&type=open</u>

Members may take note of the above and plan accordingly.

Sincerely Yours,

Chairman and Vice Chairman Corporate Laws & Corporate Governance Committee The Institute of Chartered Accountants of India