

Transferable DFIA

ANF-4G

Application for issue of Transferable Duty Free Import Authorisation (DFIA) (including for ARO and Invalidation Letter)

[Please see guidelines given at the end before filling the application online]

Application Details

IEC	Entity Name	Application Number	Applicable Export Foreign Currency	Applicable Foreign Exchange Export Rate	Applicable Foreign Exchange Import Rate

Basic Details

Branch Code of the Applicant	Type of Norm	File Number

Authorisation File Number

S. No.	Pre-Export Original DFIA File Number	File Date	CIF (in INR)	CIF (in Currency of Import)	Currency of Import)	CIF (in Freely Convertible Currency)	Freely Convertible Currency

Export Details

Export Product Group	Currency of Imports	Freely convertible currency

Export Item details as submitted by applicant

S No.	Export Serial Number	SIO N Serial No.	Export Item Name	Export Item Description/ Characteristics	Quantity	UOM	Total FOB / FOR Value (in INR)	Total FOB / FOR value (in FCC)
	ITC(HS) Code							

Shipping Bills Details

Bank Realisation wise Shipping Bill details

S. No.	Tagging Flag	Shipping Bill Number	Shipping Bill Date	FOB in INR	FOB in Foreign Currency	Foreign Currency	Port Type (EDI/Non-EDI)	Port Code	LEODate	Bank Realisation Number	Bank Realisation Date	FOB realisation (in INR)	FOB realised (in Foreign Currency)	BRC Net FOB Realised in Foreign Currency	Foreign Currency of Realisation	Multiplication Factor

Shipping Bills Details

Item-wise Realisation details

S. No.	Tagging Flag	ITC (HS) Code as per S/B	ITC (HS) Code as submitted by applicant	Name of Export Items	Shipping Bill Number	Invoice Number of Shipping Bill	Serial No. in the Invoice Number	Quantity Exported

S. No.	Quantity exported/Supplied Third Party Exports	Scheme Code	Foreign Currency Code	FOB in Foreign Currency Code	FOB Value in applicable Foreign Currency	FOB (in INR)	Multiplication Factor	Realised FOB in Foreign Currency (FOB in shipping Bill)	Realised FOB in INR (FOB in shipping Bill)	Export Serial No. (as per export item Details as submitted by Applicant)

Cumulative Values

Commission (INR)	
Grand Total FOB/FOR value of Exports made (in INR), excluding commission (as per applicant)	
Grand Total FOB/FOR value of Exports made (In Freely Convertible Currency), excluding commission (as per applicant)	
Actual Realised FOB as per Shipping Bills and eBRC (in INR)	
Actual Realised FOB as per Shipping Bills and eBRC (in FCC)	

Deemed Export Details

Address of Project Authority:	
Credit under which project is financed:	
Method by which Order is Procured:	

Name of Project Authority:	
Delivery Period of Supply:	

Import Details

Input Item Details as submitted by Applicant

S. No.	Input Serial No.	Inputs as per	Export Serial No.	SION Serial No.	Technical Description/ Characteristics	Proportionate Quantity Allowed	Value Restriction	UOM	Total Quantity Requested	CIF Value (in INR)	CIF value (in freely convertible currency)
	Input Description					ITC (HS) Code					

Input Details (As per Part C- Import Items in Shipping Bill)

S No.	Shipping Bill No.	Shipping Bill Date	Invoice Number	Invoice Serial Number	Import Serial Number	Quantity of Import	UOM of Import

Cumulative Values

Total CIF value of Imports applied for (in INR)	
Total CIF value of Imports applied for (in US \$)	
Total CIF value of Imports (in Freely Convertible Currency)	

Additional Input

Drawback Claimed

S. No.	Shipping Bill No.	Invoice No.	Invoice Serial No.	Drawback Quantity	UOM	Drawback Value (in INR)	Drawback Value (in FCC)
Total Drawback Value (in INR)							
Total Drawback Value (in Currency of Imports)							
Total Drawback Value (in Freely Convertible Currency)							

Wastage Claimed

S. No.	Inputs as per SI ON	Serial No.	Input Description	Technical Features / Description	ITC (HS) Code	Quantity Allowed	Total Quantity Required	Total Quantity Invalidated	Total Quantity to be imported	UOM	Source of Import	Total CIF Value (in INR)	Total CIF Value Invalidated (in INR)	Total CIF Value Imported (in INR)	Waste Claimed	Justification

Consumption Details

Input Details- As per Importer/Exporter

S. No.	Inputs Serial No.	Export Serial No.	Input as per	Serial No.	Technical Description / Characteristics	UOM	Total Quantity Declared (A)	Total CIF Value (in Freely Convertible Currency) for declared Quantity (B)	Total CIF Value (in INR) for Declared Quantity (A)	Total Quantity Consumed (C)	CIF Value (After Late Cut Fees)	Total Quantity Invalidated (F)	Total CIF Value Invalidated (in INR) (G)	Total CIF Value Invalidated (in FCC) (E)	Total Quantity to be imported (H=C-F)	Total CIF Value to be imported (in INR) (I=A-G)	Total CIF Value to be imported (in FCC) (K=B-e)	Remarks	
	Input Description										ITC (HS) Code								

	INR	Freely Convertible Currency
Total CIF Value		
Total Late Cut Fees Imposed (in INR)		
Total CIF Value (After Late cut Fees)		
Total CIF Value Invalidated		
Total CIF Value to be Imported		
Total Value Addition (%)		

CA/CE Details

Sl.No.	Application Number	CA/CE Membership Number	Appendix Type

DECLARATION / UNDERTAKING

I / We hereby declare that:

1. The particulars and the statements made in this application are true and correct to the best of my/our knowledge and belief and nothing has been concealed or held there from and if found incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
2. We would abide by the provisions of the Foreign Trade (Development and Regulation) Act, 1992, the Rules and Orders framed there under, the Foreign trade Policy, the Handbook Procedure and the ITC(HS) Classification of Export & Import Items, as amended from time to time.
3. None of the Proprietor/ Partner(s) / Director(s) / Karta / Trustee of the firm / company, as the case may be, is / are a Proprietor /Partner(s) / Director(s) / Karta / Trustee in any other firm / Company which has come to the adverse notice of DGFT or in the caution list of RBI.
4. I/we have perused the list of SCOMET items as contained in the Appendix 3 to the Schedule 2 of the ITC (HS) Classifications of Export-Import Items, 2004-09 and that the item(s) exported / proposed to be exported does not fall within this list and that I/ We agree to abide by the provisions of the Policy for export of SCOMET items contained in the Foreign Trade Policy, Schedule 2 of ITC (HS) and the HBP, irrespective of the scheme under which the item is exported / proposed to be exported (the underlined portion will be deleted in case an application for export license for SCOMET item is being filed).
5. Applicable in case of Advance Authorisation applications under paragraph 4.07 of HBP only
6. I / We shall abide by the final fixation of norms by the Norms Committee (NC) and shall pay in cash to the Government Customs duty together with interest (as notified) reckoned from the date of imports till the date of deposit on the inputs imported in excess of the norms fixed by NC.
7. no export proceeds are outstanding beyond the prescribed period as laid down by RBI or such extended period for which RBI permission has been obtained.
8. that I/We have updated the IEC profiles in ANF 1
9. We have not been penalized under any of the following Acts (as amended from time to time): (i) The Customs Act, 1962, (ii) The Central Excise Act 1944, (iii) Foreign Trade (Development & Regulation) Act 1992, and (iv) The Foreign Exchange Management Act, 1999; (v) The Conservation of Foreign Exchange, Prevention of Smuggling Activities Act, 1974.
10. I am authorised to verify and sign this declaration as per Paragraph 11.06 of the FTP.

Place		Date	
Name		Designation	
Email		Mobile	

Office Address	
Residential Address	

Amount to be Paid: (In INR).

12. DSC / E-Sign Details									
S.No.	E-sign Type	Serial Number	Signed Date	Certificate Type	Certifying Authority	Common Name	Organisation	Certificate Issued Date	Certificate Expiry Date

GUIDELINES FOR APPLICANTS

[Please see paragraphs 4.28 of FTP & 4.64, 4.68 of HBP]

1. Application shall be filed online only using digital signature.
2. RCMC details need not be given if the same have already been updated in the ANF-1.
3. Please upload Bank Receipt /EFT / Credit Card details evidencing payment of application fee in terms of Appendix 2K and the documents for physical / deemed exports, as the case may be.

4. Documents required in case of supplies under deemed export / intermediate supplies under DFIA:

- a. Invalidation letter in case of supplies to
 - i. an EPCG Authorisation holder;
 - ii. an Advance Authorisation holder;
 - iii. a Duty Free Import Authorisation

However, in case of switch over from physical exports / deemed exports to intermediate supplies, such invalidation letters can also be furnished at the time of redemption of DFIA.

- b. Project Authority Certificate in case of supplies other than (a) (i), (ii) & (iii) above and to EOU/ EHTP/ STP/BTP units;
- c. FOB value of export for the purpose of V.A shall be arrived at after excluding the Agency Commission, if any.

5. Documents to be uploaded for physical exports:

- a. eBRC/ Bank Certificate of Exports and Realisation in the form given at Appendix 2U or Foreign Inward Remittance Certificate (FIRC) in the case of direct negotiation of documents or Appendix 2L in case of offsetting of export proceeds. For status holders, irrevocable letter of credit would suffice. Further, realisation of export proceeds shall not be insisted, if the Reserve Bank of India (RBI) writes off the requirement of realisation of export proceeds on merits and the exporter also produces a certificate from the Foreign Mission of India about the fact of non recovery of export proceeds from the buyer. However this would not include self write off cases.
- b. EP copy of the shipping bill(s) containing details of shipment effected or bill of export in case of export to SEZ.
- c. A statement of exports giving details of shipping bill wise exports indicating the shipping bill number, date, FOB value as per shipping bill and description of export product.
- d. A statement of imports indicating bill of entry wise item of imports, quantity of imports and its CIF value.
- e. Declaration in Appendix 4H format related to consumption of the inputs endorsed in the DFIA.

6. Documents to be uploaded for deemed exports:

a. A copy of the invoice or a statement of invoices duly signed by the unit receiving the material and their jurisdictional excise/GST authorities certifying the item of supply, its quantity, value and date of such supply. However in case of supply of items which are non excisable or supply of excisable items to a unit producing non excisable product(s), a Project Authority Certificate (PAC) certifying quantity, value and date of supply would be acceptable in lieu of excise certification. However, in respect of supplies to EOU/EHTP/ STP/ BTP, a copy of Form-A duly signed by the competent authorities certifying the item of supply, its quantity, value and date of such supply can be furnished in lieu of the excise attested invoice (s) or statement of invoices as given above.

b. Payment certificate from the project authority in the form given in Appendix 7D. In the case of Advance Authorisation for Intermediate Supplies/ deemed exports, supplies to the EOUs/ EHTPs/STPs/ BTPs, documentary evidence from the bank substantiating the realization of proceeds from the Authorisation holder or EOUs/EHTPs/ STPs/ BTPs, as the case may be, as per eBRC, shall be furnished. However realization of proceeds shall not be insisted upon if the shipments are made against confirmed irrevocable inland letter of credit or inland bill of exchange is unconditionally Avalised / Co-Accepted/ Guaranteed by a bank and the same is confirmed by the exporters bank and certified by the bank as per eBRC. For status holders, irrevocable inland letter of credit would suffice.

c. A statement of supplies giving details of supply invoices and indicating the invoice number, date, FOR value as per invoices and description of product.

d. A statement of imports indicating bill of entry wise item of imports, quantity of imports and its CIF value.

e. Declaration in Appendix 4H format related to consumption of the inputs endorsed in the DFIA.