



|  |                             |       |  |  |     |  |  |   |  |             |                             |  |
|--|-----------------------------|-------|--|--|-----|--|--|---|--|-------------|-----------------------------|--|
|  | number / GST Invoice Number | eDate |  |  | ber |  |  | (INR)   |  | rate in INR | value (in foreign currency) |  |
|  |                             |       |  |  |     |  |  |   |  |             |                             |  |
|  | ITC(HS) Code                |       |  |  |     |  |  | Export Item Description in invoice/ Shipping bill |  |             |                             |  |

**Export as per Importer/Exporter**

| Authorisation Number | Type of Export | Shipping Bill Number/ Bill of Exports | Shipping Bill/ Bill of Exports / GST Invoice Date number / GST Invoice Number | Invoice Number | Invoice Date | Invoice Serial Number | Export Serial No. | Quantity  | UOM | Total FOB / FOR Value (INR) | Shipping bill currency | Foreign currency exchange rate in INR | Total FOB / FOR value (in foreign currency) | Port Type | Source |
|----------------------|----------------|---------------------------------------|---|----------------|--------------|-----------------------|-------------------|---|-----|-----------------------------|------------------------|---------------------------------------|---|-----------|--------|
|                      |                |                                       |   |                |              |                       |                   |   |     |                             |                        |                                       |   |           |        |
|                      | ITC(HS) Code   |                                       |   |                |              |                       |                   | Export Item Description in invoice/ shipping bill |     |                             |                        |                                       |   |           |        |

**Export Realisation Details**

| Authorisation Number | Shipping Bill no. /Bill of exports/ GST invoices | eBRC No. | Currency of Realisation | eBRC Value | eBRC value in applicable export FCC | Net Realized in FCC |
|----------------------|--|----------|-------------------------|------------|-------------------------------------|---------------------|
|                      |  |          |                         |            |                                     |                     |

**Input as endorsed in Authorisation**

| Authorisation Number | Export Serial No. | Inputs as per | Input Item Serial No. | Input Description | Input Item technical features/Description | ITC (HS) Code | Total Quantity Required | Quantity Invalidated | UOM | Total CIF Value (INR) | Export FCC in Authorisation | Total CIF Value (in Foreign Currency) | Total CIF Value (in USD) |
|----------------------|-------------------|---------------|-----------------------|-------------------|---|---------------|-------------------------|----------------------|-----|-----------------------|-----------------------------|---------------------------------------|--------------------------|
|                      |                   |               |                       |                   |   |               |                         |                      |     |                       |                             |                                       |                          |

**Input as per Bill of Entries/GST Invoices**

| Authorisation Number | BOE / GST Invoice Number | BOE / GST Invoice Date | Invoice Serial Number | Input Item Description in invoice | ITC (HS) Code | Quantity | UOM | Total CIF Value (INR) | Foreign Currency as per Invoice | Total CIF value (in foreign currency) | Foreign currency exchange rate in INR (as per invoice date) | Total CIF value (in Applicable foreign currency) |
|----------------------|--------------------------|------------------------|-----------------------|-----------------------------------|---------------|----------|-----|-----------------------|---------------------------------|---------------------------------------|---|--|
|                      |                          |                        |                       |                                   |               |          |     |                       |                                 |                                       |   |  |

**Input as per Importer/Exporter**

| Authorisation Number | Type of Input | BOE / GST Invoice Number | BOE / GST Invoice Date | Invoice Serial Number | Quantity as per invoice | Quantity Consumed | Balance Quantity (Unutilised) | UOM | Total CIF Value (INR)             | Total CIF of unutilised (INR) | Foreign Currency as per Invoice | Foreign currency exchange rate in INR | Total CIF value (in foreign currency) | Total CIF value (in Applicable foreign currency) | Additional exports effected in proportion to excess inputs | Input quantity reduced proportionately in the authorisation | Remarks | Port Type | Source |  |
|----------------------|---------------|--------------------------|------------------------|-----------------------|-------------------------|-------------------|-------------------------------|-----|-----------------------------------|-------------------------------|---------------------------------|---------------------------------------|---------------------------------------|--|--|---|---------|-----------|--------|--|
|                      |               |                          |                        |                       |                         |                   |                               |     |                                   |                               |                                 |                                       |                                       |  |  |   |         |           |        |  |
|                      |               | ITC (HS Code)            |                        |                       |                         |                   |                               |     | Input item Description in invoice |                               |                                 |                                       |                                       |  |  |   |         |           |        |  |

**Tax Receipt Details**

| Challan Number | Challan Date | Challan of amount paid (in Rs) | Challan Interest | Remarks |
|----------------|--------------|--------------------------------|------------------|---------|
|                |              |                                |                  |         |

**Additional Input Details**

|                             |   |                                       |                |
|-----------------------------|---|---------------------------------------|----------------|
| <b>Authorisation Number</b> | <b>Claim under reduced benefits of Appendix 4D</b>    | <b>Serial Number from Appendix 4D</b> | <b>Remarks</b> |
| <b>Authorisation Number</b> | <b>Item(s) declared do not fall under Appendix 4J</b> | <b>Serial Number from Appendix 4J</b> | <b>Remarks</b> |

**Free of Cost as endorsed in Authorisation**

| <b>Input Serial No.</b> | <b>Export Serial No.</b> | <b>Item name</b> | <b>Authorisation Number</b> | <b>Item Description</b> | <b>Source Country</b> | <b>Destination Country</b> | <b>Quantity</b> | <b>UOM</b> | <b>CIF value of Free of Cost Item (INR)</b> | <b>CIF value of Free of Cost Item (in foreign currency)</b> | <b>CIF value of Free of Cost Item (in USD)</b> | <b>FCC as per Authorisation</b> | <b>CIF value of Free of Cost Item (in Applicable FCC)</b> | <b>ITC (HS) Code</b> |
|-------------------------|--------------------------|------------------|-----------------------------|-------------------------|-----------------------|----------------------------|-----------------|------------|---|---|--|---------------------------------|---|----------------------|
|                         |                          |                  |                             |                         |                       |                            |                 |            |   |   |  |                                 |   |                      |

**Drawback Details as endorsed in Authorisation**

| <b>Authorisation Number</b> | <b>Item Description</b> | <b>Quantity Required</b> | <b>Quantity Invalidated</b> | <b>Quantity to be imported</b> | <b>UOM</b> | <b>Type of Import</b> | <b>CIF Value/ Value of Item (In Rs)</b> | <b>CIF Value/ Value of Item (In foreign currency)</b> | <b>CIF Value/ Value of Item (In USD)</b> | <b>FCC as per Authorisation</b> | <b>ITC (HS) Code</b> |
|-----------------------------|-------------------------|--------------------------|-----------------------------|--------------------------------|------------|-----------------------|---|---|--|---------------------------------|----------------------|
|                             |                         |                          |                             |                                |            |                       |   |   |  |                                 |                      |

**Drawback Details as per Shipping Bill**

| <b>Authorisation Number</b> | <b>Shipping Bill No.</b> | <b>Invoice No.</b> | <b>Invoice Serial No.</b> | <b>Item Description</b> | <b>Drawback Quantity</b> | <b>UOM</b> | <b>CIF Value / Value of Item (INR)</b> | <b>CIF Value/ Value of Item (In foreign currency)</b> | <b>CIF Value / Value of Item (In USD)</b> | <b>FCC as per Authorisation</b> | <b>CIF value in applicable FCC</b> |
|-----------------------------|--------------------------|--------------------|---------------------------|-------------------------|--------------------------|------------|--|---|---|---------------------------------|------------------------------------|
|                             |                          |                    |                           |                         |                          |            |  |   |   |                                 |                                    |

**Norms Committee Decision**

| Authorisation No. | Meeting Number | HQ File Number | Norms Committee Decision |
|-------------------|----------------|----------------|--------------------------|
|                   |                |                |                          |

**Value Addition Details**

| Declared | Fulfilled FOB | Minimum FOB Required | Short Fall by Value | Min VA Required | % Fulfilled |
|----------|---------------|----------------------|---------------------|-----------------|-------------|
|          |               |                      |                     |                 |             |

**Redemption Matrix**

| Of Authorizations | Of Export Items | Of Input Items |
|-------------------|-----------------|----------------|
|                   |                 |                |

**Redemption Details**

| Export Item Name | Quantity | UOM | Input Name | Quantity | UOM |
|------------------|----------|-----|------------|----------|-----|
|                  |          |     |            |          |     |
|                  |          |     |            |          |     |

**Regularization Details**

| Export Item Name | Quantity | UOM | Input Name | Quantity | UOM |
|------------------|----------|-----|------------|----------|-----|
|                  |          |     |            |          |     |
|                  |          |     |            |          |     |

**Bond Waiver Details**

| Export Item Name | Quantity | UOM | Input Name | Quantity | UOM |
|------------------|----------|-----|------------|----------|-----|
|                  |          |     |            |          |     |
|                  |          |     |            |          |     |

**Surrender Details**

| Export Item Name                   | Quantity | UOM | Input Name | Quantity | UOM |
|------------------------------------|----------|-----|------------|----------|-----|
|                                    |          |     |            |          |     |
| Penalty to be paid                 |          |     |            |          |     |
| Penalty as per Importer / Exporter |          |     |            |          |     |
| Import Validity Date               |          |     |            |          |     |

**Attachment Details**

| Attachment Type | Remark | Attachment Name |
|-----------------|--------|-----------------|
|                 |        |                 |



**GUIDELINES FOR APPLICANTS**  
*[Please see Paragraph 4.46, 4.47 of HBP]*

1. Application shall be filed by the authorized signatory as per para 11.06 of FTP.

2. Please upload following documents:

**a. For physical exports:**

i. e-BRC / Bank Certificate of Exports and Realization in the form given at Appendix 2U or Foreign Inward Remittance Certificate (FIRC) in the case of direct negotiation of documents or Appendix 2L in case of offsetting of export proceeds. However, authorisation holders have the option to submit BRC in the format of Appendix-22A as per HBP (2009-2014) till such time online filing of EODC is enabled. In case of export to OFAC listed countries, exporter may submit FIRC along-with the self-declaration that e-BRC could not be generated by the concerned bank.

ii. EP copy of the shipping bill(s) containing details of shipment effected or bill of export in case of export to SEZ. However no hard copy of Shipping Bill(s) shall be required to be filed for EO discharge for shipments from EDI Ports. Wherever printouts of EP Copy of shipping bill is not provided to exporters by Customs Authorities in terms of Circular No-55/2016-Customs dated 23.11.2016, applicant will have the option to submit self-attested copy of Exporter Copy of shipping bill till such time facility of online verification of shipping bills is made available to RAs.

iii. Statement of exports and Imports made and actual consumption of inputs in the items exported towards discharge of export obligation prepared and duly certified by Independent Chartered Accountant.

iv. FOB value of export for the purpose of VA shall be arrived at after excluding the Foreign Agency Commission, if any.

v. In case where CENVAT credit / ITC credit facility on inputs have been availed for the exported goods, the goods imported against Advance Authorization shall be utilized only in the manufacture of dutiable goods whether within the same factory or outside (by a supporting manufacturer) even after completion of export obligation, for which the authorization holder shall produce a certificate from either the jurisdictional Central Excise authority /Customs authority or Independent Chartered Accountant or Cost Accountant, at the option of the exporter.

**b. For deemed exports:**

i. A copy of the invoice or a statement of invoices duly signed by the unit receiving the material certifying the item of supply, its quantity, value and date of such supply. However in case of supply of items which are non excisable or supply of excisable items to a unit producing non excisable product(s), a project authority certificate (PAC) certifying quantity, value and date of supply would be acceptable in lieu of excise/GST certification. However, in respect of supplies to EOU/EHTP/ STP/ BTP, procedure prescribed in Circular No. 14/14/2017-GST dated 6th November, 2017 issued by GST Policy Wing, Central Board of Excise and Customs, Department of Revenue shall be followed. Accordingly, copy of Form A along with the copy of Tax invoice duly endorsed by recipient shall be considered as proof of deemed export supplies. However in case of supply of the product by the Intermediate supplier to the port directly for export by the ultimate exporter (holder of Advance Authorization or DFIA) in terms of paragraph 4.30 of HBP, copy of the shipping bill with the name of domestic supplier as Intermediate supplier endorsed on it along with the file No. / Authorization No. of the ultimate exporter and the intermediate supplier shall be required to be furnished.

ii. Payment certificate from the project authority in the form given in Appendix 7D supported by e-BRC or BRC in the format of Appendix-22B as per HBP (2009-2014).

iii. A statement of supplies giving details of supply invoices and indicating the invoice number, date, FOR value as per invoices and description of product.

iv. A statement of imports indicating bill of entry wise item of imports, quantity of imports and its CIF value.

v. In case where CENVAT/ITC credit facility on inputs have been availed for the exported goods, the goods imported against Advance Authorization shall be utilized only in the manufacture of dutiable goods whether within the same factory or outside (by a supporting manufacturer) even after completion of export obligation, for which the authorization holder shall produce a certificate from either the jurisdictional Central Excise Supdt. or Independent Chartered Accountant or Cost Accountant, at the option of the exporter.